

## Status Update Template

October 19, 2022

Chapter 34, Sask. Apprenticeship and Trade Certification Commission, 2019 Report – Vol.1

| Recommendation and Status at Time of Audit (Indicate whether new or outstanding)  | Page | Current Status (implemented, partially implemented, not implemented) | Actions Taken to Implement Since PA Report  | Planned Actions for Implementation | Timeline for Implementation |
|---|------|--|---|------------------------------------|-----------------------------|
| <p>We recommended the Saskatchewan Apprenticeship and Trade Certification Commission implement a formal policy for industry inspections that requires the use of a risk assessment to decide which employers to inspect and how often. (2014 Report – Volume 1, Recommendation 4; Public Accounts Committee agreement December 3, 2014)</p> <p>Status - Implemented</p> | 88   | Implemented  | <p>The Commission formalized its policy on industry inspections in May 2016.</p> <p>The Provincial Auditor acknowledged this in its 2019 report.</p>  |                                    |                             |
| <p>We recommended the Saskatchewan Apprenticeship and Trade Certification Commission verify and document that employers receive an industry inspection as required. (2014 Report – Volume 1, Recommendation 5; Public Accounts Committee agreement December 3, 2014)</p>  | 89   | Implemented  | <p>In 2019, the Provincial Auditor determined the Commission had partially implemented this recommendation. The Provincial Auditor’s sample of 30 employers found that two employers had not received an inspection under the timelines specified under the policy.</p> |                                    |                             |

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|---|-------------|---|---|---|------------------------------------|
| Status - Partially Implemented  |             |   | <p>For one employer, staff vacancies led to the work not being completed. For the second employer, the inspection occurred but the documentation was not submitted/completed properly.</p> <p>Subsequent to the Provincial Auditor's report, the Commission tightened its processes to ensure industry inspections with employers are completed within the timelines specified within its policy and documented properly.</p> |   |                                    |

# Status Update Template

October 19, 2022

Chapter 36, Sask. Apprenticeship and Trade Certification Commission, 2020 Report – Vol.2

| <b>Recommendation and Status at Time of Audit (Indicate whether new or outstanding)</b>  | <b>Page</b> | <b>Current Status (implemented, partially implemented, not implemented)</b> | <b>Actions Taken to Implement Since PA Report</b>   | <b>Planned Actions for Implementation</b> | <b>Timeline for Implementation</b> |
|--|-------------|---|---|---|------------------------------------|
| <p>We recommended the Saskatchewan Apprenticeship and Trade Certification Commission verify and document that employers receive an industry inspection as required. (2014 Report – Volume 1, Recommendation 5; Public Accounts Committee agreement December 3, 2014)</p> <p>Status - Implemented</p> | <p>265</p>  | <p>Implemented</p>  | <p>In 2020, the Provincial Auditor determined the Commission has implemented this recommendation. Their report concludes, <i>“As of August 2020, the Commission consistently documented its assessment of employers training apprentices in compulsory and non-compulsory trades. We tested 30 employers—20 in compulsory trades (e.g., plumber, construction electrician), and 10 in non-compulsory trades (e.g., carpenter). Inspection files showed the Commission completed the inspections within the expected timeframes.”</i></p> <p>Subsequently, the Commission has continued to document its industry</p> |   |                                    |

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|---|-------------|---|---|---|------------------------------------|
|   |             |   | inspections with employers.                       |   |                                    |