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Chapter 14, Saskatchewan Polytechnic, 2019 report volume 2

Recommendation and Status at Time of Audit (Indicate whether new or outstanding)	Page	Current Status (implemented, partially implemented, not implemented)	Actions Taken to Implement Since PA Report	Planned Actions for Implementation	Timeline for Implementation
New: We recommend that Saskatchewan Polytechnic establish a policy to guide compensating for losses of its employees. Status -Implemented	99	Implemented	Saskatchewan Polytechnic has established a policy and procedures to guide compensating losses of all members of the Polytech's community including employees, students, volunteers, board members and the general public. The compensation for losses policy was approved by Saskatchewan Polytechnic's board of directors March 5, 2021.	N/A	N/A

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New: We recommended Saskatchewan Polytechnic broaden the measures used to assess the success of its applied research beyond annual growth in research revenue. (*2020 Report – Volume 2,* Public Accounts Committee has not yet considered this recommendation.)	170	Implemented	Annual metrics included in the annual reporting now include annual research revenue, number of research projects, number of faculty and researchers engaged in applied research and number of students who are employed as part of ongoing projects	N/A	Included as part of the 2021/2022 Annual Report
New: We recommended Saskatchewan Polytechnic confirm staff and students reaffirm their commitment to the Code of Conduct at least annually, as required by policy. (*2020 Report – Volume 2,* Public Accounts Committee has not yet considered this recommendation.)	174	Implemented	Changes to the internal employee reporting system have been made by Human Resources and IT to ensure all employees provide annual confirmations. Students complete a confirmation at the time they are employed as part of ongoing applied research projects	N/A	N/A

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New: We recommended Saskatchewan Polytechnic always document its assessment of the associated key risks of externally funded applied research projects prior to deciding to pursue the project. (*2020 Report – Volume 2*; Public Accounts Committee has not yet considered this recommendation.)	177	Implemented	New Applied Research Management System (ARMS) database includes a specific section to reference risk assessment which must be completed prior to funding applications being submitted or applied research contracts being entered into.	N/A	N/A
New: We recommended Saskatchewan Polytechnic establish guidance about requiring funding agreements with industry partners involved in applied research projects. (*2020 Report – Volume 2*; Public Accounts Committee has not yet considered this recommendation.)	179	Implemented	A standard operating procedure has been established within the Office of Applied Research to require a written, signed agreement for projects which involve funds in excess of $5000. Projects involving funding amounts less than $5000 may be processed through an invoice for funding	N/A	N/A

Recommendation and Status at Time of Audit (Indicate whether new or outstanding)	Page	Current Status (implemented, partially implemented, not implemented)	Actions Taken to Implement Since PA Report	Planned Actions for Implementation	Timeline for Implementation
New: We recommended Saskatchewan Polytechnic maintain an accurate and complete inventory of its applied research projects (e.g., project status, project dates, ethics approvals). (*2020 Report – Volume 2;* Public Accounts Committee has not yet considered this recommendation.)	180	Implemented	There is an established, Excel-based, complete inventory of applied research projects in place and that inventory has been added to an Applied Research Management System (ARMS) database. Both the Excel and ARMS systems are being maintained as ARMS continues to be evaluated.	N/A	N/A

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Outstanding: We recommend that Saskatchewan Polytechnic establish a policy to guide compensating for losses of its employees. (2019 Report - Volume 2; Public Accounts Committee has not yet considered this recommendation) Status -Implemented	88	Implemented	Saskatchewan Polytechnic has established a policy and procedures to guide compensating losses of all members of the Polytech's community including employees, students, volunteers, board members and the general public. The compensation for losses policy was approved by Saskatchewan Polytechnic's board of directors March 5, 2021.	N/A	N/A