

Status Update

September 6, 2022

Chapter 42 – University of Regina – Provincial Auditor 2019 Report – Volume 1

Recommendation Indicate New/Outstanding	Page	Current Status (implemented, partially implemented, not implemented)	Actions Taken to Implement since PA Report	Planned Actions for Implementation	Timeline for Implementation
<p>Outstanding:</p> <ul style="list-style-type: none"> We recommended that the University of Regina define and the Board of Governors approve what constitutes specialized resources for the purposes of the University's Intellectual Property Policy in order to protect the University's rights to intellectual property. <p>(2013 Report – Volume 1; Public Accounts Committee agreement Dec. 9, 2014)</p>	288	<ul style="list-style-type: none"> Implemented 	<ul style="list-style-type: none"> Final definition of specialized resources created by the Johnson Shoyama Graduate School of Public Policy and presented to Board as part of the VPR's report on Research at the University of Regina, December 2017 	<ul style="list-style-type: none"> All actions completed 	<ul style="list-style-type: none"> Implemented

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<p>Outstanding</p> <ul style="list-style-type: none"> We recommended that once the University of Regina defines and the Board of Governors approves what constitutes specialized resources, the University ensure that the definition is consistently used and applied. <p>(2013 Report – Volume 1; Public Accounts Committee agreement Dec. 9, 2014)</p>	288	<ul style="list-style-type: none"> Implemented 	<ul style="list-style-type: none"> With final definition approved, the definition can be consistently used and applied to disclosures from faculty members. 	<ul style="list-style-type: none"> As disclosures are made to the Research Office with respect to intellectual property, established procedures will be followed to ensure the definition is used and applied consistently. In 2022 we have staffed a new Commercialization Officer position that provides critical support to the disclosures process. 	<ul style="list-style-type: none"> Implemented

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<p>Outstanding: We recommended that the University of Regina review the classification and operations of all of its research institutes, assess their contribution to the University's strategic research goals, and take any necessary actions identified by the reviews.</p> <p>(2013 Report – Volume 1; Public Accounts Committee agreement Dec. 9, 2014)</p>	<p>290</p>	<ul style="list-style-type: none"> • Implemented 	<ul style="list-style-type: none"> • The University has conducted reviews of the Humanities Research Institute, the Clean Energy Technology Research Institute and the Prairies Adaptation Research Collaborative. An internal audit was conducted on the Saskatchewan Instructional Development Research Unit. A schedule has been drawn up for future reviews. 	<ul style="list-style-type: none"> • In 2018-19, the University completed reviews of the Saskatchewan Population Health and Evaluation Research Unit, the Saskatchewan Instructional Development Research Unit, the Institute for Environmental Change and Society, and the Social Policy Research Unit. The impacts of COVID placed a pause on research centre reviews in 2020-21. In 2022 we have started the process again with the Centre for Aging Health next to be reviewed. 	<ul style="list-style-type: none"> • Reviews of research centres and institutes is an ongoing activity, as they will be reviewed regularly throughout their lifespan. The University is reviewing a portion of centres each year, to reduce the burden on review committees.

Status Update

September 6, 2022

Chapter 47 – University of Regina – Provincial Auditor 2019 Report – Volume 2

Recommendation Indicate New/Outstanding	Page	Current Status (implemented, partially implemented, not implemented)	Actions Taken to Implement since PA Report	Planned Actions for Implementation	Timeline for Implementation
<p>Outstanding:</p> <ul style="list-style-type: none"> We recommended that the University of Regina implement a process to co-ordinate the departments that procure goods and services, including construction, to efficiently manage procurement in accordance with the University's policies. <p>(2013 Report – Volume 2; Public Accounts Committee agreement January 14, 2015)</p>	316	<ul style="list-style-type: none"> Implemented 	<ul style="list-style-type: none"> Management has put in place two new process requirements to ensure all projects will follow proper tendering policies: <ol style="list-style-type: none"> The Commitment Authorization must be accompanied by any supporting documents (tender summary showing posting of project on Sask Tenders if above threshold, tender results, approved Competitive Bid Exception if applicable). No contracts will be signed without a properly approved 	<p>The job classification for the <i>Manager of Project Accounting and Administration</i> has been completed, but has not been filled due to funding constraints.</p> <p>Procedures have been finalized and have been implemented under the supervision of the Director – Planning, Design and Construction.</p>	<ul style="list-style-type: none"> Implemented

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			<p>Commitment Authorization.</p> <ul style="list-style-type: none"> An additional resource, Manager of Project Accounting and Administration, is being considered as a priority position as this will enhance the supervision of this process. The Job Classification of this position is in progress. 		
<p>Outstanding:</p> <ul style="list-style-type: none"> We recommended that the University of Regina establish sufficient contract documentation requirements for the procurement of goods and services. <p>(2013 Report – Volume 2; Public Accounts Committee agreement January 14, 2015)</p>	316	<ul style="list-style-type: none"> Implemented 	<ul style="list-style-type: none"> Facilities Management has updated the current consulting services contract template to include terms and conditions included in the Supply Management Services standard consulting services contract template. In May, 2018, Facilities Management initiated a legal review of all 	<p>In fall of 2020, McDougall Gauley completed their review of Facilities Management construction tender and contract documents. Recommendations based on this review have been implemented.</p>	<ul style="list-style-type: none"> Implemented

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			request for quotation and request for proposal documents, and contract documents used for construction and consulting services. Contract templates will be updated as required, with the goal for completion of updated versions December, 2018.		